

Policy on Dormant / Inactive Client Account for SISBL & ICFPL

Version 1.0.1, March 2016

Any client who has not done any activity as mentioned below in any segment of any of the Exchanges of which Shriram Insight Share Brokers Ltd. (SISBL) or its subsidiary company Insight Commodities and Futures Pvt. Ltd. (ICFPL) is a member, for last six months calculated at the beginning of every calendar month or such other period as may be decided by the SISBL or its subsidiary company (ICFPL) at its sole and absolute discretion then such client would be termed as a Dormant/Inactive Client.

The account of such clients shall be deactivated / suspended temporarily by SISBL or its subsidiary company (ICFPL). If the client wants to activate the account, a request for reactivation should be sent in writing to SISBL or its subsidiary company (ICFPL). Such request for reactivation should be accompanied along with such documentary evidence as may be specified by SISBL or its subsidiary company (ICFPL) from time to time in the light of prevalent KYC norms at the time of reactivation given by the Regulators and as well as tele-call to client to confirm the genuineness before activation under the same UCC.

The Funds and Securities of such clients available with SISBL or its subsidiary company (ICFPL) are returned either on request of the client or during the Actual Settlement of his/her account, as opted by the client through its Running Account Authorisation Letter.

The above policies and procedures shall always be read along with the Agreement, Terms and Condition, Risk Disclosure Documents etc. and shall be compulsorily referred to while deciding any dispute or claim between Client and SISBL before any regulator or arbitrator or court of law.

List of Activities:

- i. If the client has not done any transaction.
- ii. If the client has not updated any of his KYC information at SISBL or its subsidiary company as the case may be.
- iii. If the client has not registered in any other Exchange under SISBL or Its subsidiary company as the case may be.
- iv. If the client has not registered in any other Segment on the same Exchange under SISBL or Its subsidiary company as the case may be.